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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
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FEE RECORD SHEET

09/24/2003 SHIKASSI-00000021-10665138

01 FC:1001	-750.00 OP
02 FC:1202	-306.00 OP
03 FC:1201	-84.00 OP

Adjustment date: 12/31/2003 EEKUBAY1  
09/24/2003 SHINASSI 00000021 10665138

01 FC:1001	-750.00 OP
02 FC:1202	-306.00 OP
03 FC:1201	-84.00 OP

12/31/2003 EEKUBAY1 00000006 10665138

01 FC:2001	375.00 OP
02 FC:2202	153.00 OP
03 FC:2201	42.00 OP

Repln. Ref: 12/31/2003 EEKUBAY1 0008253200  
DAH:120425 Name/Number:10665138  
FC: 9204 \$570.00 CR

PTO-1556  
(5/87)

Def & Ref R. 307

PATENT MAINTENANCE  
DIVISION  
**PATENT**

2003 DEC -4 PM 12:24

US PATENT & TRADEMARK  
OFFICE



Applicant's Docket No. U 014823-0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

[X] In re application of: Serge DOUCET, et al.

Application No.: 10/665,138

Filed: September 18, 2003

For: MULTI-WAVE LENGTH LASER SOURCE

Group No.: -

Examiner: -

[ ] Patent No.:

Issued:

*\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.*

Mail Stop 16  
Director of the United States Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Refund Section, Accounting Division, Office of Finance

**REQUEST FOR REFUND**  
**(37 C.F.R. 1.28(a))**

**CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\***  
*(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. 1.8(a)

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37 C.F.R. 1.10\*

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☐ transmitted by facsimile to the Patent and Trademark Office.

Date: November 25, 2003

Signature

William R. Evans

(type or print name of person certifying)

*\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

NOTE: 37 C.F.R. § 1.28(a): "(a) Refunds based on later establishment of small entity status. A refund pursuant to § 1.26, based on establishment of small entity status, of a portion of fees timely paid in full prior to establishing status as a small entity may only be obtained if an assertion under § 1.27(c) and a request for a refund of the excess amount are filed within three months of the date of the timely payment of the full fee. The three-month time period is not extendable under § 1.136. Status as a small entity is waived for any fee by the failure to establish the status prior to paying, at the time of paying, or within three months of the date of payment of, the full fee."

NOTE: Submission of a Change of Status (small/not small entity status) after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.

## I. SUBMISSION OF SMALL ENTITY STATEMENT

- (a) ☒ Attached is a Statement or Written Assertion claiming small entity status in this application.
- (b) ☐ A Statement or Written Assertion claiming small entity status was filed in this application on \_\_\_\_\_.

## II. REFUND REQUEST

NOTE: 37 C.F.R. § 1.28(b)(1): "(b) Date of payment. (1) The three-month period for requesting a refund, pursuant to paragraph (a) of this section, starts on the date that a full fee has been paid..."

This request for refund is made within three months of the date a fee was paid in this application on September 18, 2003, in the amount of \$ 1,140.00.

NOTE: If the fee was charged to a deposit account, a request for refund must be filed within two months of the date of the charge to the deposit account.

## III. FEES PAID FOR WHICH REFUND REQUESTED

### AMOUNT OF REFUND REQUESTED

☒ Filing fee \$ 570.00

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l)). \$ \_\_\_\_\_

OR

☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e)) \$ \_\_\_\_\_

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the provisional application (37 CFR 1.51(c)(1) and 37 CFR 1.16(l)) \$ \_\_\_\_\_

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